

FINAL TEXT FOR BOARD APPROVAL

For the 45-day comment period, proposed text is underlined; and
For the 45-day comment period, text proposed to be deleted is shown in ~~strikethrough~~.
For the 15-day comment period, proposed text is double underlined; and
For the 15-day comment period, text proposed to be deleted is shown in ~~double
strikethrough~~.
For the Second 15-day comment period, text proposed to be added is show in **underline**
For the Third 15-day comment period, text proposed to be added is show in **italicized**
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For the Third 15-day comment period, text proposed to be deleted is shown in **~~bold
italicized strikethrough~~**

TITLE 2. ADMINISTRATION Division 1. Administrative Personnel Chapter 1. State Personnel Board Subchapter 1.2 Hearings and Appeals Article 2. Filing with the Board

§ 52.4. Requirements and Method of Delivery for Filing Appeals and Complaints with the Board.

Appeals filed with the Board shall be subject to the following:

- (a) All appeals and complaints shall be in writing.
- (b) Except as otherwise provided in these Regulations, each appeal and complaint shall be filed with the Appeals Division and shall:
 - (1) Identify the name, address, and telephone number of the Appellant or Complainant;
 - (2) If different than the Appellant or Complainant, identify the name, address, and telephone number of the person filing the appeal or complaint, including the State Bar number if the person filing the appeal or complaint is an attorney;
 - (3) Except as provided in Government Code section 19575, state the facts that form the basis for appeal or complaint; and
 - (4) Identify all Respondents known to the Appellant or Complainant including, for individually-named Respondents, first and last name, job title, and business address.
- (c) Unless the appeal or complaint names some other Respondent, the Appellant's or Complainant's appointing power shall be considered the only Respondent.
- (d) The Appeals Division shall mail or serve a copy of the appeal or complaint to or on the Respondent(s).
- (e) Time Limitations for Filing Appeals or Complaints with the Board.

Except as otherwise provided in the act or these regulations, every appeal or complaint shall:

- (1) be filed with the Appeals Division within the following time limits;
- (A) Appeals from disciplinary action filed pursuant to the provisions of Government Code sections 19575, shall be filed within 30 days after the effective date of the notice of adverse action;
 - (B) Appeals from disciplinary action filed pursuant to the provisions of Education Code section 89539, subdivision (a), or Government Code section 19590, subdivision (c), shall be within 30 days of the employee's receipt of the notice of adverse action;
 - (C) Appeals from rejection during probationary period filed pursuant to the provisions of Government Code section 19175 shall be filed within 15 days of the effective date of the notice of rejection during probationary period;
 - (D) Appeals from non-punitive transfer, demotion or termination filed pursuant to the provisions of Government Code section 19585 shall be filed within 30 days after the effective date of the notice of non-punitive action;
 - (E) Appeals from medical transfer, demotion or termination filed pursuant to the provisions of Government Code section 19253.5, subdivision (f), shall be filed within 15 days of service of the notice of medical action;
 - (F) Appeals from a CEA termination filed pursuant to the provisions of Government Code section 19889.2 shall be filed within 30 days of the employee's receipt of the notice of termination;
 - (G) Complaints of whistleblower retaliation filed pursuant to the provisions of Education Code section 87164 or Government Code sections 8547.8 and 19683, shall be filed within one year from the most recent act of reprisal complained about;
 - (H) Requests-to-File-Charges filed pursuant to the provisions of Government Code section 19583.5 shall be filed within one year of the event or events upon which the appeal is based;
 - (I) Appeals from constructive medical transfer, suspension, demotion, or termination shall be filed within 30 days of the employee being notified that he or she would not be permitted to resume the duties of their position;
 - (J) Appeals from the following types of cases shall be filed within 30 days of the effective date of the action:
 - (i) Termination of appointment from the Limited Examination and Appointment Program (LEAP); and
 - (ii) Termination or automatic resignation from a Permanent Intermittent appointment;
 - (K) Appeals from pre-employment medical disqualification, pre-employment psychological disqualification, and pre-employment drug test failure, shall be filed within 30 days of the date of service of the notice of disqualification;
 - (L) Appeals from improprieties in the civil service examination process, including the CEA examination process, shall be filed as follows:
 - (i) Appeals from qualification appraisal interviews shall be filed within 30 days of the date that examination results are mailed to the Appellant;

(ii) Appeals from written examinations shall be filed within 30 days of the date that examination results are mailed to the Appellant.

(iii) Appeals from online examinations shall be filed within 30 days of the date that the examination results are available to the Appellant.

(M) Petitions to Set Aside Resignations pursuant to Education Code section 89542 shall be filed within 30 days after the last date upon which services to the state university or college are rendered, or the date the resignation is tendered, whichever is later;

(N) Appeals from Automatic Resignation for Absence Without Leave pursuant to Education Code section 89541 shall be filed within 90 days of the effective date of such separation. If the appointing authority has notified the employee of the automatic resignation, any request for reinstatement must be filed within 15 days of the service of notice of separation;

(O) Appeals from disciplinary action, rejection during probationary period, medical transfer or termination, automatic resignation, layoff, refusal to hire from a re-employment list, or grievance involving discrimination or political affiliation, filed pursuant to the provisions of Government Code section 19800 - 19810, pertaining to Local Agencies, shall be filed in accordance with the provisions of Title 2, Division 5, Chapter 2, Article 8, Subarticle 1, section 17550.

(P) Appeals from Withhold from Certification and ~~Voiced Appointment~~ shall be filed within 30 days of the date that the Notice of Withhold from Certification or ~~Notice of Voiced Appointment~~ is mailed to the Appellant.

(Q) Appeals challenging a Voiced Appointment, including the amount of any reimbursement ordered pursuant to section 243.3, subdivision (c), shall be filed within 30 days of the date that the Notice of Voiced Appointment is ~~sent~~ mailed to the Appellant. **The Notice of Voiced Appointment shall specify the amount of reimbursement ordered and inform the Appellant of their right to challenge the amount of reimbursement and/or the Voiced Appointment itself pursuant to section 243.6, subdivision (a).**

~~(Q)-(R)~~ Back pay claims and requests for back pay hearings, as described in section 61, shall be filed within one year of the date of the board's decision giving rise to the back pay obligation.

(2) In all other cases, the appeal or complaint shall be filed within 30 days after the event upon which the appeal or complaint is based.

(3) Any Appellant or complainant seeking to file an appeal or complaint beyond the time limits in this section, must file a petition with the Chief ALJ or his or her designee demonstrating good cause as to why the appeal or complaint should be accepted. Upon good cause being shown, the Chief ALJ or his or her designee may allow an appeal or complaint, except as otherwise limited by statute, to be filed within 30 days after the end of the period in which the appeal or complaint should have been filed.

(f) Methods of Delivery for Filing Appeals or Complaints with the Board.

(1) Appeals or complaints delivered by electronic mail (e-mail), will be filed on the date received by the Board.

(2) Appeals or complaints delivered by the U.S. Postal Service are filed on the date received by the Board. An Appellant or Complainant may obtain proof of the filing of the appeal or complaint by submitting either an extra copy of the appeal or complaint or the first page only, with a self-addressed, return envelope, postage prepaid. The Appeals Division shall return the copy marked with the date of filing.

(3) Appeals or complaints hand delivered to the Board during regular business hours will be filed on the date received by the Board after the filing party has complied with applicable statutory and regulatory filing requirements.

Note: Authority cited: Sections 18502 and 18701, Government Code. Reference: Sections 18675 and 19889.2, Government Code.

TITLE 2. ADMINISTRATION
Division 1. Administrative Personnel
Chapter 1. State Personnel Board
Subchapter 1.3 Classifications, Examinations, and Appointments
Article 10. Appointments

§ 243.2. Correction of Unlawful Appointments.

(a) When the Board, Executive Officer, or Department determines that an appointment is unlawful, the Board, Executive Officer, or Department may take corrective action up to and including voiding the appointment under the following circumstances:

(1) The action to correct or void the appointment is taken within one year after the appointment; and

(A) The appointing power or employee or both the appointing power and employee did not act in other than good faith in accordance with section 243; or

(B) The appointment was accepted and made in good faith by both the appointing power and employee; and the appointment would not have been made but for some mistake of law or fact that if known to the parties would have rendered the appointment unlawful when made.

(b) When the Board or Executive Officer determines that an appointment is unlawful and the appointment has been in effect for longer than one year, the Board or Executive Officer may take corrective action up to and including voiding the appointment under any or all of the following circumstances:

(1) The employee did not act in other than good faith in accordance with section 243, subdivision (c).

(2) The appointing power did not act in other than good faith in accordance with section 243, subdivision (b).

(3) The action(s) or omission(s) found to render the appointment(s) unlawful resulted in a selection process not based solely on merit or that significantly disadvantaged the other candidates.

(c) Where corrective action includes voiding an appointment(s), the Board, Executive Officer, or Department, whichever has decided to take the action, may order the appointing power to void the appointment(s) and provide the affected employee(s) the right to respond to the appointing power, as set forth in section 243.5.

(d) The provisions herein shall not limit or restrict the authority to delegate powers and duties related to the correction of unlawful appointments, as expressed in section 37.

Note: Authority cited: Sections 18502, 18701 and 18660, Government Code. Reference: Cal. Const., Art. VII, §§ 1 and 3; and Sections 18502, 18654, 18710, 19050 and 19257.5, Government Code.

TITLE 2. ADMINISTRATION
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§ 243.3. Compensation or Reimbursement for Voided Appointments.

(a) For purposes of this section, the meaning of compensation as defined in section 9 shall apply.

(b) An employee who accepted an appointment in good faith that is subsequently voided or corrected shall retain only the compensation provided for in section 9 of the Board's regulations. In all cases, compensation shall be corrected on a prospective basis.

(c) An employee who does not act in good faith in accordance with section 243, subdivision (c), when accepting an appointment that is subsequently voided or corrected shall reimburse all compensation resulting from the appointment. In the event of an appeal to the Board challenging the amount of reimbursement, the Board may require the employee to reimburse all or a portion of the compensation resulting from the appointment based upon the evidence presented.

~~(c) In instances in which the Executive Officer or Board voids or corrects an appointment based on a finding that the employee acted in other than good faith in securing the appointment, the Executive Officer or Board may require the employee to reimburse all or a portion of the compensation resulting from the appointment. In instances in which the Department voids or corrects an appointment based on a finding that the employee acted in other than good faith in securing the appointment, the Department shall refer the matter to the Executive Officer to make a determination whether to require the employee to reimburse all or a portion of the compensation resulting from the appointment.~~

~~(c) An employee who acts in ways other than in good faith when accepting an appointment that is subsequently voided or corrected shall reimburse all compensation resulting from the appointment. In the event of an appeal to the Board challenging the~~

amount of reimbursement, the Board may provide for less than full reimbursement of compensation based upon the evidence presented.

(d) On appeal, the Board ~~may~~ shall consider any factors relevant to its determination regarding the appropriate reimbursement of compensation, including, but not limited to the following:

(1) The duration of the work performed by the employee;

(2) The quality of the work performed by the employee;

(3) The culpability of the employee in obtaining and accepting the appointment;

(4) The culpability of the appointing authority in making the unlawful appointment;

(5) The ~~position~~ opinion of the appointing authority regarding the appropriate reimbursement of compensation; and

(6) The amount of reimbursement necessary to ~~sufficiently~~ deter future unlawful appointments.

Note: Authority cited: Sections 18502 and 18701, Government Code. Reference: Cal. Const., Art. VII, § 3; and Section 19257, Government Code.

TITLE 2. ADMINISTRATION

Division 1. Administrative Personnel

Chapter 1. State Personnel Board

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Article 10. Appointments

§ 243.5. Right to Respond.

Unless otherwise ordered pursuant to section 243.2, subdivision (c), where corrective action of an unlawful appointment(s) is determined appropriate the Board, Executive Officer, or Department, whichever has decided to take the action, shall notify the affected employee(s) and appointing power of the proposed action at least 15 calendar days prior to the effective date of the proposed action. The notice shall state the reason(s) for the proposed action and notify the employee(s) and the appointing power of their right to respond, either verbally or in writing, within 15 calendar days of the date of the notice. **The notice shall specify the amount of reimbursement ordered and inform the Appellant of their right to challenge the amount of reimbursement and/or the Voided Appointment itself pursuant to section 243.6, subdivision (a).**

Note: Authority cited: Sections 18502 and 18701, Government Code. Reference: Section 18670, Government Code.

TITLE 2. ADMINISTRATION
Division 1. Administrative Personnel
Chapter 1. State Personnel Board
Subchapter 1.3 Classifications, Examinations, and Appointments
Article 10. Appointments

§ 243.6. Right to Appeal or Reconsideration.

(a) When the Executive Officer ~~or Department~~ or Department takes action to correct an unlawful appointment(s) that includes voiding the appointment(s) **and/or requiring reimbursement of compensation pursuant to section 243.3, subdivision (c)** ~~and/or when the Executive Officer requires reimbursement of compensation pursuant to section 243.3, subdivision (c)~~, the employee(s) and/or the appointing power may file a written appeal to the Board within 30 calendar days of receipt of the final decision to take corrective action in accordance with section 52.4, subdivision (e)(1)(Q). ~~Where the corrective action is taken solely as to the appointing power and does not impact the appointment, the appointing power may file a written appeal to the Board within 30 calendar days of receipt of the final decision to take corrective action.~~

(b) When the Board takes action to correct an unlawful appointment(s) that includes voiding the appointment(s) and/or requiring reimbursement of compensation pursuant to section 243.3, subdivision (c), the employee(s) and/or the appointing power may file a written petition for reconsideration to the Board within 30 calendar days of receipt of the final Board decision. Where the corrective action is taken solely as to the appointing power and does not impact the appointment, the appointing power may file a written petition for reconsideration to the Board within 30 calendar days of receipt of the final Board ~~decision to take corrective action.~~

Note: Authority cited: Section 18701, Government Code. Reference: Section 18670, 18654.5 and 18710, Government Code.